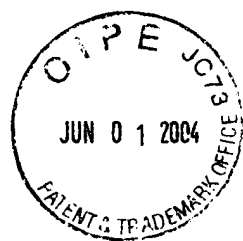


DFW

ATTORNEY DOCKET NO.: 0492611-0505 (MIT 9991 US NATL)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant: Chau, et al. Examiner: NYA  
Serial No.: 10/668,045 Art Unit: 1614  
Filing Date: September 22, 2003  
Title: POLYMER-LINKER-DRUG CONJUGATES FOR TARGETED  
DRUG DELIVERY

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir

Certificate of Mailing	
I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450	
May 27, 2004	<i>Kathy Hart Gagnon</i>
Date	Signature
Kathy Hart Gagnon	
Typed or Printed Name of person signing certificate	

TRANSMITTAL

Enclosed for filing in the above-referenced patent application, please find the following documents:

- 1) Establishing Right of Assignee to Take Action (1 page) with copy of Assignment, the original of which has been forwarded for recordation (4 pages);
- 2) Appointment of Attorney (1 page);
- 3) Written Assertion of Small Entity Status Under 37 CFR § 1.27(a)(2) (1 page);
- 4) Statement of Limited Recognition Under 37 C.F.R. §10.9(b) (1 page);
- 5) Associate Power of Attorney (1 page); and
- 6) Return postcard.

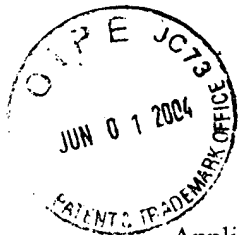
Please charge any additional fees associated with this filing, or apply any credits, to our Deposit Account No. 03-1721.

Respectfully submitted,

Dated: May 27, 2004

*C. Lyon*  
\_\_\_\_\_  
Charles E. Lyon, D.Phil.  
Agent for Applicant  
Limited Recognition Under 37 CFR §10.9(b)

PATENT DEPARTMENT  
CHOATE, HALL & STEWART  
Exchange Place  
53 State Street  
Boston, Massachusetts 02109  
Tel: (617) 248-5000  
Fax: (617) 248-4000



ATTORNEY DOCKET NO.: 0492611-0505 (MIT 9991 US NATL)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Chau, *et al.* Examiner: TBA  
Serial No.: 10/668,045 Art Unit: 1614  
Filing Date: September 22, 2003  
Title: POLYMER-LINKER-DRUG CONJUGATES FOR TARGETED DRUG  
DELIVERY

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION**  
**(37 CFR § 3.73(b))**

The inventors of the above-referenced United States patent application have assigned their entire right, title, and interest in the inventions disclosed therein according to the following table:

Inventor	Assignee
Ying Chau	Massachusetts Institute for Technology
Robert S. Langer	Massachusetts Institute for Technology

Thus, the Assignees of the inventors' entire right, title, and interest are:

**MASSACHUSETTS INSTITUTE FOR TECHNOLOGY**

According to 37 CFR § 3.73(b), the Assignee is entitled to take action relating to the application in the Patent and Trademark Office if the Assignee establishes ownership to the satisfaction of the Commissioner.

Ownership by the assignee is established as follows:

\_\_\_\_\_ documents already recorded in the PTO on \_\_\_\_\_.

Reel \_\_\_\_\_ Frame \_\_\_\_\_; and

X documents separately submitted for recordal to the PTO (a copy of these documents is attached).

**STATEMENT**

I, person(s) authorized to sign on behalf of the Assignee, have reviewed the evidentiary documents referred to above and certify that, to the best of my knowledge and belief, title is mine/ours as Assignee who seeks to take further action.

Name/Title	Assignee	Date
Signature: <u>Rita H. Filipowicz</u> Name: <u>Rita H. Filipowicz</u> Title: <u>Patent Administrator</u>	<b>Massachusetts Institute for Technology</b> <b>77 Massachusetts Avenue</b> <b>Cambridge, MA 02139</b>	<u>4/13/04</u>

COPY

ATTORNEY'S DOCKET NO.: 0492611-0505 (MIT 9991 US NATL)

### ASSIGNMENT

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned **Ying Chau**, of Cambridge, Massachusetts; and **Robert S. Langer** of Newton, Massachusetts, each hereby

Sells, assigns and transfers to, **Massachusetts Institute of Technology** having a place of business at **77 Massachusetts Avenue, Cambridge, MA 02139**, its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE, his/her entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent filed **September 22, 2003, Serial No. 10/668,045**, entitled **"POLYMER-LINKER-DRUG CONJUGATES FOR TARGETED DRUG DELIVERY"** and in and to said application and all divisional, continuing, substitute, renewal, reexamination, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original, reissued, and reexamination patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

Agrees that said ASSIGNEE may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said ASSIGNEE, agrees to carry out in good faith the intent and purpose of this assignment by executing all divisional, continuing, substitute, renewal, reexamination, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said ASSIGNEE all facts known to / relating to said inventions and the history thereof, and generally by doing everything possible which said ASSIGNEE shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said ASSIGNEE.

Hereby requests the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said ASSIGNEE.

Covenants with said ASSIGNEE that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by him/her and that full right to convey the same as herein expressed is possessed by him/her

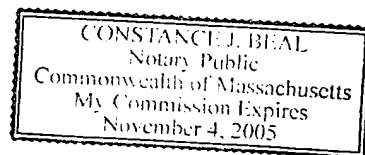
IN WITNESS WHEREOF, I hereto set my hand and seal at Cambridge MA,  
this 15<sup>th</sup> day of January, 2004.

Ying Chau  
Ying Chau

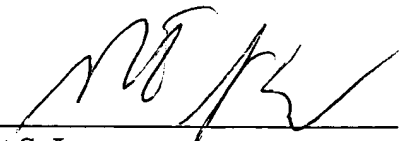
STATE OF Massachusetts  
SS.  
COUNTY OF Middlesex.

Before me this 15<sup>th</sup> day of January, 2004, personally appeared **Ying Chau** known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that she executed the same as her free act and deed for the purposes therein contained.

Constance J. Beal  
NOTARY PUBLIC  
My commission expires: 11/04/05

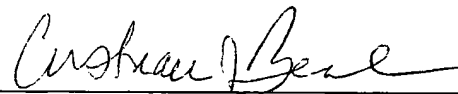


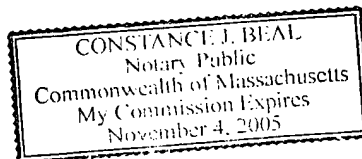
IN WITNESS WHEREOF, I hereto set my hand and seal at Cambridge MA,  
this 15<sup>th</sup> day of January, 2004.

  
Robert S. Langer

STATE OF Massachusetts  
SS.  
COUNTY OF Middlesex.

Before me this 15<sup>th</sup> day of January, 2004 personally appeared  
**Robert S. Langer** known to me to be the person whose name is subscribed to the foregoing  
Assignment and acknowledged that he executed the same as his free act and deed for the  
purposes therein contained.

  
NOTARY PUBLIC  
My commission expires: 11/04/05





ATTORNEY'S DOCKET NO.: 0492611-0505 (MIT 9991 US NATL)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Chau, et al. Examiner: NYA  
Serial No.: 10/668,045 Art Unit: 1614  
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DRUG DELIVERY

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**APPOINTMENT OF ATTORNEY**

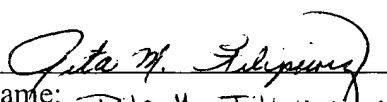
The undersigned hereby appoints:

The attorneys/agents associated with **Customer No. 24280** as its attorneys and agents for prosecution of matters relating to the above-identified patent application and to conduct all business in the United States Patent and Trademark Office.

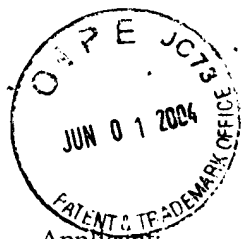
All correspondence should be sent to:

Patent Department  
Choate, Hall & Stewart  
Exchange Place, 53 State Street  
Boston, Massachusetts 02109

Respectfully submitted,

  
Name: Rita H. Filipowicz  
Title: Patent Administrator  
Date: 4/13/04

On behalf of **Board of Trustees of  
Massachusetts Institute of Technology**



ATTORNEY DOCKET NO.: 0492611-0505 (MIT 9991 US NATL)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Chau, *et al.* Examiner: TBA  
Serial No.: 10/668,045 Art Unit: 1614  
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Title: POLYMER-LINKER-DRUG CONJUGATES FOR TARGETED DRUG DELIVERY

Commissioner For Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**WRITTEN ASSERTION OF SMALL ENTITY STATUS**  
**UNDER 37 CFR §1.27(a)(3)**  
**NON-PROFIT ORGANIZATION**

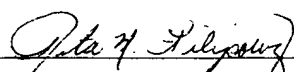
The undersigned hereby asserts entitlement to small entity status as a non-profit organization under 37 CFR §1.27(a)(3) on behalf of the **Board of Trustees of Massachusetts Institute of Technology**.

This assertion is made on the ground that the **Board of Trustees of Massachusetts Institute of Technology** is either:

- (X) a university or other institution of higher education located in any country;
- ( ) an organization of the type described in section 501(c)(3) of the Internal Revenue Code of 1986 (26 USC §501(c)(3)) and exempt from taxation under section 501(a) of the Internal Revenue Code (26 USC §501(a));
- ( ) any nonprofit scientific or educational organization qualified under a nonprofit organization statute of a state of this country (35 USC §201(i)); or
- ( ) any nonprofit organization, located in a foreign country, that would qualify as a nonprofit organization under paragraphs (b) or (c) above if it were located in this country,

and *has not* assigned, granted, conveyed, or licensed, and is under no obligation under contract or law to assign, grant, convey, or license, any rights in the above-referenced patent application to any party who does not qualify for small entity status either as a person, a small business concern, or a nonprofit organization under 37 CFR §1.27.

Respectfully Submitted,

  
Name: Rita H. Filipowicz  
Title: Patent Administrator  
Date: 4/13/04





ATTORNEY DOCKET NO.: 0492611-0505 (MIT 9991 US NATL)

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I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450	
May 27, 2004	<i>Kathy Hart Gagnon</i>
Date	Signature
Kathy Hart Gagnon	
Typed or Printed Name of person signing certificate	

Sir:

**APPOINTMENT OF ASSOCIATE ATTORNEY/AGENT 37 CFR 1.34(b)**

For the above-referenced U.S. patent application, please recognize Charles Lyon, Limited Recognition under 37 C.F.R. § 10.9(b) as associate attorney/agent with full power to prosecute this application, to make alterations and amendments therein, and to transact all business in the U.S. Patent and Trademark Office connected therewith.

Dated: May 27, 2004

Respectfully submitted,

*Sam Pasternack*  
\_\_\_\_\_  
Sam Pasternack, Ph.D.  
Reg. No. 29,576

PATENT DEPARTMENT  
CHOATE, HALL & STEWART  
Exchange Place  
53 State Street  
Boston, MA 02109  
Tel: (617) 248-5000  
Fax: (617) 248-4000